

STATE OF FLORIDA
REGISTRATION INFORMATION SHARING AND EXCHANGE (RISE)
PROGRAM

LEVEL-ONE AGREEMENT

1. The Florida Department of Revenue (“the Department”), acting in its capacity as coordinator of the Registration Information Sharing and Exchange (RISE) program, and the _____ (“Government Entity”) hereby enter into this Agreement for the sharing of certain information as prescribed in Section 213.0535, Florida Statutes (F.S.) for Level-one participants of the RISE program. This Agreement shall be effective for three (3) years from the date of its execution by the Executive Director of the Department.
2. As stated in Section 213.0535(5), F.S., when in receipt of information shared pursuant to this Agreement, the Government Entity shall be bound by all applicable laws imposing confidentiality requirements. These requirements include, but may not be limited to, those stated in Section 213.053, F.S. and Chapter 12-22, Florida Administrative Code (F.A.C.). The Government Entity shall be subject to the same penalties for violation of these requirements as provided in Section 213.053(2), F.S. The _____ (Title or Position) of the Government Entity, or his/her designee, agrees to take appropriate steps to protect information obtained under RISE and this Agreement from unauthorized disclosure, especially any confidential tax information obtained from the Department of Revenue.
3. The manager of the Department of Revenue Service Center serving the Government Entity, or the manager’s delegate, shall be the point of contact for receiving confidential tax information requests pursuant to this Agreement.
4. The following minimum procedures must be implemented prior to receiving any confidential tax information from the Department of Revenue:
 - A. Information received shall be used only for administering or enforcing tax or licensing provisions of the following laws:
 - Chapter 212, F.S., sales and use tax;
 - Section 125.0104, F.S., tourist development tax;
 - Section 125.0108, F.S., tourist impact tax;
 - Chapter 205, F.S., local occupational license taxes;
 - Section 212.0305, F.S., convention development taxes;
 - Chapter 509, F.S., public lodging and food service establishment licenses;
 - Chapter 561, F.S., beverage law licenses; and/or
 - Chapter 67-930, Laws of Florida, municipal resort tax.
 - B. When not being used, the information must be kept under lock and key or other secure manner.
 - C. When no longer needed, tax information provided by the Department must be destroyed by shredding, incineration, pulping, or other appropriate means to make the information unreadable. Shredded paper should be 5/16 inch wide or smaller and, if not using a cross-cut shredder, paper should be fed into the shredder with lines of print perpendicular to the

RISE Program Level-one Information Sharing Agreement
/Florida Department of Revenue

Page 2

cutting blades of the shredder. If incinerating, the incinerator should produce enough heat to burn the entire bundle, or the bundle should be separated to ensure all pages are burned. If pulping, the process should reduce all material to particles one inch or smaller.

- D. Only authorized employees of the Government Entity with an official use for the information as stated in paragraph "A" above shall be allowed access to the information. All authorized employees of the Government Entity are responsible for familiarity and compliance with the confidentiality provisions of Section 213.053(2), F.S., as amended, and Chapter 12-22, F.A.C., related to the use of any state tax information received pursuant to this Agreement.
 - E. The RISE participant must supply a list of authorized employees as stated in paragraph "D" above to the RISE Coordinator of the Department not less than annually by January 31. Such list shall include the name and position of each authorized employee.
 - F. Each authorized employee as stated in paragraph "D" above is required to execute by January 31 of each year the certification of familiarity with the confidentiality requirements of Section 213.053, F. S., and Chapter 12-22, F.A.C., attached as "Attachment 'C'". Any person who becomes an authorized employee subsequent to January 31 must execute a separate certification of familiarity; in each instance those certifications shall be forwarded to the RISE Coordinator of the Department within 15 business days of hire date or change of employment status.
 - G. In the event that a signatory to this Agreement or an authorized employee leaves employment or changes employment status such that he or she no longer has an official use for the information, the RISE Coordinator must be notified by the Government Entity within 15 business days of the employee's termination or change of employment status.
- 5. The Department of Revenue may conduct on-site security reviews to ensure that adequate procedures are in place to safeguard confidential tax information received under RISE and this Agreement and prevent any unauthorized disclosures of that information.
 - 6. Mutually acceptable techniques and methods of information sharing will be developed when and as required by the operating officials of the respective parties to this Agreement with the overall objective of providing the most useful data, with a minimum of interruption of operating routines, and the least amount of additional expense.
 - 7. Information sharing frequency shall be either monthly or quarterly as specified in Attachment "A" of this Agreement.
 - 8. The following data elements relating to licensing or registration activity during each period will be exchanged by the parties to this Agreement within 20 days of the end of that month or quarter:
 - A. Registrant's, licensee's or taxpayer's name (business and owner),

RISE Program Level-one Information Sharing Agreement
_____ /Florida Department of Revenue

Page 3

- B. Mailing address (including zip code),
 - C. Business location address (including zip code),
 - D. Telephone number (business and owner),
 - E. Federal employer identification number or social security number,
 - F. Business type code (NAICS or SIC code),
 - G. County code (as provided by the Department of Revenue),
 - H. Applicable State or local license or registration number, and any other unique identifying number,
 - I. Business opening date, and
 - J. Indication if new or re-issuance (not renewals or address changes).
9. Unless otherwise specified in Attachment "A" to this Agreement, information specified in paragraph "8" of this Agreement shall be provided by computer processable medium in the format provided in Attachment "B" to this Agreement.
10. No information received by the Department of Revenue from the Internal Revenue Service shall be disclosed under this Agreement.
11. In the event that the Government Entity fails to fulfill its obligations for participating in the RISE program in accordance with Section 213.0535, F.S., and this Agreement, the Department of Revenue is authorized to suspend the routine providing of tax information to that entity and, in its role as coordinator of the RISE program, also is authorized to recommend that other participants in the RISE program suspend any information exchange activity with the Government Entity.

APPROVED:

Executive Director
Florida Department of Revenue

Title: _____
Office: _____
(Government entity)

Signed at Tallahassee, Florida,
this _____ day of _____, 20__.

Signed at _____, Florida,
this _____ day of _____, 20__.

RISE ATTACHMENT A

Name of Local Government: _____

Signatory to Agreement: Name: _____
Title: _____
Mailing Address: _____
Email address: _____
Phone: _____

Administrative contact: Name: _____
Title: _____
Mailing Address: _____
Email address: _____
Phone: _____

Data Processing Contact: Name: _____
Title: _____
Mailing Address: _____
Email address: _____
Phone: _____

Information sharing frequency (select one): ___ Monthly ___ Quarterly

Type of Computer System: _____

METHOD OF DATA TRANSMISSION WILL BE SECURE EMAIL.

NOTE: Prior approval must be obtained in writing from the Department of Revenue for use of other than secure email medium for data transmission.

Application should be made to: Linda Lee Frazier, RISE Coordinator, Compliance Support Process, General Tax Administration, Florida Department of Revenue, 4070 Esplanade Way, Room 315N, Tallahassee, FL 32399-5139

Defined area and/or boundaries for data: List all applicable zip code(s), and/or county code(s).

Authorized signature _____ Date: _____

RISE ATTACHMENT B

1. Labeling: External Requirements:
 1. Name and address of sender;
 2. Recording density ;
 3. Registration applicable period;
 4. Run period: Monthly, Quarterly, Special (On-Request)
2. General Information:
 - A. All numeric fields are in unpacked format, preceded with zeroes, right justified and zero-filled when not used.
 - B. All alphanumeric fields are to be left justified and space-filled when not used.
 - C. The attached Magnetic Media Transmittal should be completed and returned with the CD ROM or diskette.
 - D. It is the policy of the Florida Department of Revenue to erase all data from the magnetic media prior to returning, unless requested.

RISE Participant's File Description as of July 2003

Position	Field Name	Length of Field
1 – 80	Mailing Name	80 characters.
81 – 81	delimiter	1 character.
82 – 121	Mailing Address	40 characters.
122 – 122	delimiter	1 character.
123 – 148	Mailing City Name	26 characters.
149 – 149	delimiter	1 character.
150 – 151	Mailing State Name	2 characters.
152 – 152	delimiter	1 character.
153 – 161	Mailing Zip Code	9 numbers.
162 – 162	delimiter	1 character.
163 – 172	Mailing Phone Number	10 numbers.
173 – 173	delimiter	1 character.
174 – 253	Business Location Name	80 characters.
254 – 254	delimiter	1 character.
255 – 294	Business Location Address	40 characters.
295 – 295	delimiter	1 character.
296 – 321	Business Location City	26 characters.
322 – 322	delimiter	1 character.
323 – 324	Business Location State	2 characters.
325 – 325	delimiter	1 character.
326 – 334	Business Location Zip Code	9 numbers.
335 – 335	delimiter	1 character.
336 – 345	Business Location Phone Number	10 numbers.
346 – 346	delimiter	1 character.
347 – 355	FEI or SSN Numbers	9 numbers.
356 – 356	delimiter	1 character.
357 – 357	FEI - SSN Indicator	1 number.
	1 = Federal Employer Identification.	
	2 = Social Security Number.	
358 – 358	delimiter	1 character.
359 – 362	Standard Industry Code (SIC)	4 numbers.
363 – 363	delimiter	1 character.
364 – 368	NAICS Code (new standard to replace SIC code)	5 numbers.
369 – 369	delimiter	1 character.
370 – 371	County Code	2 numbers.
372 – 372	delimiter	1 character.
373 – 374	Kind Code (state business description)	2 numbers.
375 – 375	delimiter	1 character.
376 – 388	Sales Tax SAP Certificate Number (Total 13 numbers).	
	Note: Exist no matter if account created before or after March 2003.	
	County Code	2 numbers.
	Value 8 (8 means it's an SAP certificate number)	1 numbers.
	Contract Object	9 numbers.
	Check Digit	1 number.
389 – 389	delimiter	1 character.
390 – 402	Old Sales Tax Certificate Number (Total 13 numbers).	
	Note: Only exist if created before March 2003; otherwise all zeros.	
	County Code	2 numbers.
	City Code	2 numbers.
	Account Number	6 numbers.
	Kind Code	2 numbers.
	Check Digit	1 number.
403 – 403	delimiter	1 character.
404 - 411	Business Open Date (CCYYMMDD Format)	8 numbers.
	Note: Date business registered with the State of Florida.	
412 – 412	delimiter	1 character.
413 – 432	Blank Spaces (for RISE participant's use)	20 characters.
433 – 433	End-of-File-Marker	Number Zero.

Delimiter is the ^ character (located above the number six key on a standard PC-Keybord).

RISE ATTACHMENT C

REVENUE INFORMATION SHARING EXCHANGE ("RISE") PROGRAM PARTICIPANT CERTIFICATION FOR ACCESS TO CONFIDENTIAL STATE TAX INFORMATION

I hereby certify that I have read and understand the following:

1. Section 213.053, F.S., makes state tax information in the possession of the Department of Revenue confidential except for official tax administration purposes.
2. Violation of confidentiality requirements found in Section 213.053(2), F.S., is a misdemeanor of the first degree, punishable, as stated in Sections 775.082 and 775.083, F.S.
3. When in receipt of state tax information from the Department of Revenue, RISE participants and their authorized employees, and certified public accountants contracted pursuant to Sections 125.0104 and 212.0305, F.S., are subject to the same requirements of confidentiality and the same penalties for violation of those requirements as the Department of Revenue.
4. No federal tax information will be made available.
5. Confidential state tax information must be kept under lock and key when not being used.
6. State tax information may be used for official tax administration purposes only.
7. When no longer needed, this information will be returned to the department, or destroyed by shredding or incineration.
8. Only authorized employees of the RISE participant listed on the attached sheet, or any certified public accountant contracted pursuant to Sections 125.0104 or 212.0305, F.S., with an official need and use, will be allowed to request, receive, and review state tax information.

(NAME)
RISE SIGNATORY

(TITLE)

(SIGNATURE)

(DATE)

As an authorized employee of the RISE Participant, I hereby certify that I am familiar with the confidentiality requirements of Section 213.053, F. S., and aware of the criminal penalties for the unauthorized disclosure of state tax information punishable as stated in Sections 775.082 and 775.083, F. S.. I understand that state tax information received from the Department of Revenue may be used by listed staff of the government entity and for official tax administration purposes only.

NAME (PRINT OR TYPE)	OFFICIAL TITLE	SIGNATURE	DATE
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(Attach additional sheets if necessary)